STATE OF OREGON
DEPARTMENT OF CONSUMER AND BUSINESS SERVICES
DIVISION OF FINANCIAL REGULATION

In the Matter of: ORONTES ROA, Respondent.	Case No. INS-26-0019 ORDER GRANTING PROBATIONARY NONRESIDENT INSURANCE PRODUCER LICENSE, ENTERED BY CONSENT

The Director of the Department of Consumer and Business Services for the State of Oregon ("Director"), acting by and through the Oregon Division of Financial Regulation ("Division), and in accordance with Oregon Revised Statutes ("ORS") chapters 731, 732, 733, 734, 735, 737, 742, 743, 743A, 743B, 744, 746, 748 and 750 ("Insurance Code") and ORS 183.430(2), makes the following Findings of Fact and Conclusions of Law relative to an application for an Oregon nonresident insurance producer license submitted by Orontes Roa ("Roa") on December 29, 2025.

Roa wishes to resolve and settle this matter with the Director.

Now, therefore, as evidenced by the authorized signatures subscribed on this Order, Roa hereby CONSENTS to entry of this Order upon the Director's Findings of Fact and Conclusions of Law as stated hereinafter.

Division of Financial Regulation
Labor and Industries Building
350 Winter Street NE, Suite 410
Salem, OR 97301-3881
Telephone: (503) 378-4387

FINDINGS OF FACT

The Director FINDS that:

1. Roa is a Texas resident. Roa has been licensed in his home state of Texas since October 9, 2024. He is also licensed in 44 other jurisdictions. Roa's National Producer Number (NPN) is 21349460.

2. On December 29, 2025, Roa applied for an Oregon non-resident producer license. Roa disclosed his convictions from a military summary court martial on his application for

an insurance producer license.

3. On or about September 12, 2014, Roa pleaded guilty in a summary court martial proceeding of the United States Marine Corps to three violations of a lawful general order; one violation of indecent exposure; three violations of assault consummated by battery; and one violation of kidnapping (the "2014 Summary Court Martial"). As a result of the 2014 Summary Court Martial, Roa's rank was reduced and he was discharged under other than honorable conditions.

4. According to Roa, the 2014 Summary Court Martial occurred while he was serving as a recruiter and developed a relationship with an applicant. Roa notes that such a relationship is impermissible under Marine Corps policy. Roa acknowledged to acting inappropriately and accepted responsibility for his conduct, and he provided relevant information and documentation with his application.

5. On January 14, 2026, the State of Florida denied Roa's application for a non-resident insurance producer license based on the 2014 Summary Court Martial. Roa does not have any other regulatory history.

CONCLUSIONS OF LAW

The Director CONCLUDES that:

6. Pursuant to ORS 744.074(1)(i), the Director may "place a licensee on probation or suspend, revoke or refuse to issue or renew an insurance producer license and may take other actions authorized by the Insurance Code" based on the "Cancellation, revocation, suspension or refusal to renew" an insurance producer's license in another state.

7. The action taken by Florida to deny Roa's license, described in Paragraph 5 above, constitutes grounds for the Director to refuse to issue Roa an Oregon nonresident insurance producer license, or to take other actions authorized by the Insurance Code, including but not limited to issuing a probationary license.

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ORDER

The Director issues the following Order:

Probationary License

8. The Director hereby ORDERS that Roa be granted an Oregon nonresident insurance producer license subject to the following probationary conditions for a period of two years:

(a) Roa shall obey all federal, state, and local laws; and

(b) Roa shall comply with all applicable insurance statutes, rules, and regulations

(c) If Roa fails to comply with the above probationary terms, Roa agrees to immediately notify the Division of the failure to meet any probationary term. Roa further agrees that any violation of the probationary terms of this Order will result in immediate revocation of his Oregon insurance producer license.

(d) If at the end of the two year probationary license Roa is not found to have violated the terms of this Order, Roa may request that the Division remove the probationary terms on his license and be issued a full, unrestricted Oregon nonresident insurance producer license.

Final Order

9. This Order is a "Final Order" under ORS 183.310(6)(b). Subject to that provision, the entry of this Order does not limit other remedies that are available to the Director under Oregon law.

SO ORDERED this 2nd day of July, 2026.

Sean E. O'Day, Director
Department of Consumer and Business Services

/s/ Dorothy Bean

Dorothy Bean, Chief of Enforcement
Division of Financial Regulation

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CONSENT TO ENTRY OF ORDER

I, ORONTES ROA, state that I have read the foregoing Consent Order and that I know and fully understand the contents hereof; that I have been advised of my right to a hearing, and that I have been advised of my right to be represented by counsel in this matter; that I voluntarily consent to the entry of this Consent Order without any force or duress, expressly waiving any right to a hearing in this matter, as well as any rights to administrative or judicial review of this Consent Order; that I understand that the Director reserves the right to take further actions against me to enforce this Consent Order or to take appropriate action upon discovery of other violations of the Insurance Code by me; and that I will fully comply with the terms and conditions stated herein.

I understand that this Consent Order is a public document.

/s/ Orontes Roa

Signature

State of Texas

County of Harris

Signed or attested before me on this 1st day of July, 2026 by Orontes Roa.

/s/ Caleb Westerfield

Notary Public

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